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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: **YAMAZAKI, et al.**

Group Art Unit: **3628**

Serial No.: **09/486,341**

Examiner: **Thach H. Bui**

Filed: **February 25, 2000**

P.T.O. Confirmation No.: 4432

For: **GENERAL FINANCIAL RISK MANAGEMENT APPARATUS AND
FINANCIAL TRANSACTIONS MODELING APPARATUS**

AMENDMENT UNDER 37 CFR §1.111

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

August 11, 2003

Sir:

In response to the Office Action dated **February 13, 2003**, extended to **August 13, 2003** by a **three** month Petition for Extension of Time, please amend the above-identified application as follows:

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this paper.

Remarks/Arguments begin on page 11 of this paper.

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GROUP 3600